State Industrial Development Authority (SIDA)
11 Reforms undertaken by SIDA

11.1 Circular Resolution passed by SIDA Board for Adoption of Uttarakhand Building Bye Laws 2016

To ensure the ease in the provision of Construction Permits, SIDA, has adopted the Unified Building Bye Laws for the State of Uttarakhand as notified by the Housing Department, Government of Uttarakhand.

The Uttarakhand Building Bye Laws 2016 aims to bring transparency and ease in the entire process of Building Plan Approval. Through the adoption of Uttarakhand Bye Laws 2016, SIDA has ensured that a single document of Bye Laws are applicable for the entire State thus relieving any ambiguity and complexity that was incident on the applicants.

The Bye Laws include many provisions to ensure that the Construction Permit process is eased and that applications are processed in a timely manner. Some of the provisions included are

a. Risk Based Classification for Buildings
b. Mandatory Joint Site Inspection before Consent to Establish
c. Third Party Certification for Buildings
d. Accreditation Program for Architects
e. Qualifications of various building professionals
f. Inspections based on Risk Based Classification
g. Online availability of inspection reports within 48 hours.
CIRCULAR RESOLUTIONS TO BE PASSED BY SIDA BOARD

Sir,

The following resolutions which are to be passed by circulation are attached herewith for your approval. You are requested to kindly consider and mark your approval/disapproval in the attached sheet at the appropriate place below the resolution. The agenda papers related to particular resolutions are attached herewith for your perusal.

a. Resolution No.1 – Adoption of unified Building Bye-laws (BBL) by SIDA.
b. Resolution No.2 – Empanelment of Structural Engineers and Architects by SIDA for Third Party Certifications and issuance of completion certificate etc. during/at construction stage.
c. Resolution No. 3 – Revision of Master Plan of IIE, Kotdwar (Sigaddi Growth Centre)

Since no meeting of SIDA Board is proposed as of now and the matters are urgent therefore these are being proposed to be passed by circulation.

Regard

(Dr. R. Rajesh Kumar)
CEO, SIDA Member Secretary

To,

1. Additional Chief Secretary, Industrial Development, GoUK
2. Secretary, PWD Department, GoUK
3. Secretary, Urban Development, GoUK
4. Secretary, Finance, GoUK
5. Managing Director, SHIDCUL
6. Shri Pankaj Gupta, Nominated Member
7. Shri Prashant Mahadik, Nominated Member
8. Shri Anil Taneja, Nominated Member
Resolution No.1:
Adoption of Unified Building Bye-Laws (BBL) by SIDA

“RESOLVED that approved Uttarakhand Building Bye-Laws (BBL) prepared by Uttarakhand Housing & Urban Development Authority (UHUDA) for the entire State of Uttarakhand issued vide notification number 83/U/4-2/2016-SS(346)/06-GM dated 03 June 2016...... and as annexed to this resolution be and are hereby approved and adopted, with any amendments made to the Uttarakhand Building Bye Laws from time to time, as Building Bye-Laws for SIDA for all building plan approval and other activity covered under that pertaining to SIDA with effect from date of notification referred above.

a. Building Plan Approval / Construction Permit will be granted on the basis of Single Joint Site Inspection which will be carried by SIDA and various government authorities and departments on the basis of the Comprehensive Joint Checklist

b. SIDA will finalize and communicate the date for Joint Site Inspection when giving its recommendation for In Principle Approval to files received from Single Window System. The final date for Joint Site Inspection will be finalized and communicated to all the relevant departments at the time of In Principle Approval.

c. Inspection Reports must be uploaded online within 48 hours from the inspection. This will be mandatory for all types of inspections including inspection for Construction Permit and Occupancy Certificate.

Annexure: Copy of Unified BBL

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Resolution No.2:
Empanelment of Structural Engineers and Architects by SIDA for Third Party Certifications and issuance of completion certificate etc. during/ at construction stage.

"RESOLVED that consent be and is hereby accorded for considering empaneled/authorized Structure Engineers who are already empaneled with the Housing Department, Government of Uttarakhand, as empaneled/authorized Structure Engineers of SIDA for the purpose of Third Party Certification of Structural Designs and Architectural Drawings during / at the construction stage as required under relevant Building Bye-Laws as applicable from time to time till such time that SIDA does not empanel authorized Structure Engineers on its own.

RESOLVED further that CEO, SIDA be and is hereby authorized to initiate process for empanelment of Architects and Structural Engineers as required under Building Bye-Laws (BBL).

Encl: List of Authorized Structural Engineers.

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**Resolution No.3:**
**Revision of Master Plan of IIE, Kotdwar (Sigaddi Growth Centre).**

"**RESOLVED that** consequent upon transfer of additional 25 Acres (approximately) of Land parcel adjacent to the existing Industrial Estate of IIE, Kotdwar (Sigaddi Growth Centre) and intention of SIIDCUL to develop and integrate this area for development of this area in planned manner, the revised master plan as enclosed herewith be and is hereby adopted for IIE, Kotdwar (Sigaddi Growth Centre)"

Encl: Draft of Revised Master Plan.

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Resolution No.1: Adoption of Unified Building Bye-Laws (BBL) by SIDA.


The Business Reform Action Plan 2016 includes 340 points across various areas to create transparent, easier and speedier approval mechanisms for various licenses, NOCs, approvals and clearance required to establish and operate industry and business.

Out of the total 340 points, 28 points are for Industry Department, 13 points are for SIIDCUL & 28 points belong to SIDA. The points in relation to SIDA fall under the areas of Construction Permit Enablers, Building Plan Approval and Inspections related to granting of Construction Permit & Occupancy Certificate.

As envisioned by The Business Reform Action Plan 2016, the State has to implement Comprehensive & Uniform Building Bye Laws applicable throughout the State for all the authorities which issue construction permits. Uttarakhand Housing & Urban Development Authority (UHUDA) along with Town & Country Planning Department, has prepared amendments to the current Building Bye Laws (to comply with the provisions of The Business Reform Action Plan 2016) which has been approved through deviation from the Hon’ble Chief Minister, Uttarakhand (Copy enclosed). The Bye Laws with amendments will be released as Uttarkhand Building Bye Laws 2016. The Uttarkhand Building Bye Laws 2016 will unite all the regulations into a single document that outlines all requirements which a building must abide with and will be applicable to the entire State of Uttarakhand.

In order to achieve the points for this year’s evaluation, SIDA has to adopt the Comprehensive & Uniform Uttarkhand Building Bye Laws 2016. The adoption of single bye laws will increase access to information on the regulatory requirements associated with each construction permit for the entire State. The Uttarkhand Building Bye Laws 2016 also include the provisions for
a. Risk Based Classification of Buildings

b. Accreditation programs and clear responsibilities and liabilities for professionals including architects and engineers

c. Single Joint Site Inspection for Construction Permit

d. Mandatory qualifications for architects, structural engineers and contractors

e. Third party certification (during construction and/or completion stage, as applicable) of structural design and architectural drawings by authorized structural engineers and architects

f. Authorize architects to issue the Building Completion Certificate

To comply with DIPP Points, SIDA has also undertaken a major exercise to implement Building Plan Approval Management System (BPAMS) system for Building Plan Approval which among other things will automate the Scrutiny of the Building Plans submitted by the applicants and thus reduce the margin for error and increase in the enforcement of the Building Bye Laws in a transparent and speedier way. The software implementation is in final stages of its implementation and ready to be made live.

The Board also needs to approve that for all inspections reports are to be mandatorily uploaded within 48 hours from the respective inspection that are due at each stage.

The World Bank team is visiting Uttarakhand on 7th & 8th of June, 2016 to assess & evaluate the EoDB Ranking of the State. Before their visit, it is required to place the entire system & processes online. The timeline for submission of evidences of steps taken on each of the respective points is 15th June 2016 and only evidences uploaded on the DIPP by this date would be considered for evaluation and determine the ranking of Uttarakhand vis-à-vis other States. It is therefore requested to consider this urgent & approve this agenda through circular resolution.

(Dr. R. Rajesh Kumar)
CEO, SIDA, Member Secretary
Resolution No. 2:

Empanelment of Structural Engineers and Architects by SIDA for Third Party Certifications and issuance of completion certificate etc. during/at construction stage.

As per the points mentioned in EoDB, DIPP, GoI there are certain points which need to be complied with, to improve & ease the working process and procedures and also to improve the ranking of the State. Some of the points relate to the Accreditation / Empanelment of Architects / Structural Engineers and subsequent powers to be authorized to issue Completion Certificate and Third Party Certification of Structural Designs and Architectural Drawings during / at the construction stage. To achieve the above points SIDA needs to empanel Structural Engineers for preparing and vetting of the structure designs and drawings & Architects for Issue of Completion Certificates. The specific points which will stand to benefit from such an exercise are 64, 70 & 71.

To ease out the process and to save time it is proposed before the Board that it would be easier to adopt the same list of empaneled/authorized Structure Engineers who are already empaneled with the Housing Department, GoUK (List enclosed). For empanelment of Architects, processes will have to be initiated as well.

The Board may kindly like to approve the above proposal.

(Dr. R. Rajesh Kumar)
CEO, SIDA, Member Secretary
Resolution No.3:  Revision of Master Plan of IIE Kotdwar (Sidaggi Growth Centre)

IIE Kotdwar was developed by SIIDCUL in 2005-2006, in an area of 100 acres approx. which has been allotted to various industries. Now SIIDCUL has been recently transferred a land parcel of 25 acres approximately which is just adjacent to the existing Industrial Estate. SIIDCUL intends to develop and integrate this area for industrial development in a planned manner. The proposed draft of the master plan is put up before the Board for approval.

(Dr. R. Rajesh Kumar)
CEO, SIDA, Member Secretary
11.2 Order in regards to simplified and user-oriented Building Plan Approval Management System

To ensure smooth application process for the applicants, SIDA has mandated that all the applications for Consent to Establish and Consent to Operate are provided only through the online mechanism with no hard copy processes. Physical submission of documents / fees has been removed. The order outlines many other provisions that are aimed to ensure ease of provision of services to applicants.

STATE INDUSTRIAL DEVELOPMENT AUTHORITY OF UTTARAKHAND.

29, HE, Shastradhara Road (IT Park), Dehradun-240081.
Phone - 0135-2708100, 2607292, 2608097
Fax - 0135-2708109. Website: www.sidaonline.in

Ref. No. 38 / C.E.O/SIDA/17

ORDER

Reforms under Ease of Doing Business

SIDA shall follow the below mentioned guidelines to ensure that the process for granting of construction permits be provided to users / applicants in a smooth and transparent manner. It is to be ensured that only online applications are invited and that the entire mechanism of approval shall happen without any physical touch points. Physical submission of any document in hard-copy shall not be accepted including fees thus ensuring that the entire approval process be done electronically with no hard-copy file movement.

The users / applicants should be able to track the status of their applications online through the unique reference number through any stage of the process. The users / applicants should also be provided online approval / rejection / objection letters (preferably digitally signed).

The other important reforms that will be mandated through this order are:

1. Publishing information about the procedure and a comprehensive list of documents including pre-construction and post-construction No Objection Certificates (NOCs), registrations and other mandatory State approvals (prior to plinth and pre-occupancy)
2. Publishing well defined inspection procedure and checklist on the websites for all the services provided in relation to construction permits
3. Buildings be inspected based on computerized risk based assessment to ensure that low risk buildings are not imposed regulatory burdens and adequate monitoring is engaged on the buildings that pose higher risks
4. Ensure that all the inspection reports at every stage of construction i.e. pre-construction, during-construction and post-construction be submitted within 48 hours of the inspection
5. All the inspections reports be available for view and download by the applicants on their logins
6. After the inspections have been completed, an online certificate be issued for the inspections
7. The inspectors for the site inspections be allocated either randomly (preferred) or by jurisdiction and that manual allocation not be done
8. Third party Architects (duly registered with Council of Architecture) be entrusted with the task of providing the Completion Certificates for all such buildings based on which the applicant should then apply for the Occupancy-cum-Completion Certificate to SIDA
9. All the affidavits and undertakings that are required from the applicant have been made into a single comprehensive affidavit to ensure that users / applicants need not provide multiple affidavits and undertakings. (Annexure 1)
(10) For Building Plan Approval / Consent to Establish, only a single, joint site inspection be carried out by all concerned authorities such as Fire, Sewerage, Electricity, Labor, Water Department and internal departments responsible for granting construction permits in urban areas and IDC instead of separate inspections by all the relevant agencies

(11) All the approval / rejection records should be easily verifiable through the portal

(12) The timelines as below need to be adhered and should be limited to 45 days for the entire life cycle of approvals for construction permits:
I. Building Plan Approval is provided within 30 days
II. Plinth Inspection is done within 7 days of intimation
III. Final Occupancy-cum-Completion certificate is provided within 8 days (including inspections)

(13) Plinth level inspection be completed within 7 days from the date of intimation, else be deemed approved

(14) All the fees and charges that are to be borne out by the user / applicant should be prominently shown on the respective websites. The fees and charges calculations should be done through online fee calculators.

(15) There should also be an online medium for the users / applicants to raise queries / grievances and that timely action be taken to resolve them

(16) SIDA shall also provide the relevant areas which are under its jurisdictions to ensure that users / applicants are easily able to verify the concerned agency for approvals.

(17) SIDA shall also ensure robust systems for management of information and create actionable reports to identify and rectify issues that may be in the processes for the provisions of various services for construction permits. Departmental dashboards and reports be made available to each of the stakeholders in the system to ensure timely action can be taken to rectify and correct issue areas.

(Dr. R. Rajesh Kumar)
Chief Executive Officer